

Ensembles of F-theory Flux Vacua and the Middle Cohomology of Calabi-Yau Fourfolds

based on [1401.5908] with Y.Kimura and T.Watari
and [1407.x] with T.Watari

aim: bring together

- F-theory GUTs

aim: bring together

- F-theory GUTs
- Flux vacua

aim: bring together

- F-theory GUTs
- Flux vacua

ask questions such as

- why is there gauge symmetry ?
(maybe a bit too ambitious)

aim: bring together

- F-theory GUTs
- Flux vacua

ask questions such as

- why is there gauge symmetry ?
(maybe a bit too ambitious)
- number of generations ?
- extra $U(1)$ s ?
- discrete symmetries ?

For different tweaks of my background, which one is more natural ?

Let's set up an ensemble of vacua.

- Elliptic Calabi-Yau fourfold Z with base B_3
- fixed gauge group (= degenerate fibre type) along S in B_3
- $G_4 = G_{\text{fix}} + G_{\text{scan}}$
- use G_{fix} for chirality (... GUT breaking ...),
- vary G_{scan} in H_{scan} ; talks to complex structure moduli

Let's set up an ensemble of vacua.

- Elliptic Calabi-Yau fourfold Z with base B_3
- fixed gauge group (= degenerate fibre type) along S in B_3
- $G_4 = G_{\text{fix}} + G_{\text{scan}}$
- use G_{fix} for chirality (... GUT breaking ...),
- vary G_{scan} in H_{scan} ; talks to complex structure moduli

For a SUSY minimum, G_4 is in

$$H^{2,2}(Z) = H_V^{2,2}(Z) \oplus H_{RM}^{2,2}(Z) \oplus H_H^{2,2}(Z)$$

G_{scan} should not be in $H_V^{2,2}(Z) \oplus H_{RM}^{2,2}(Z)$; want ensemble without (further) gauge symmetry breaking

Count vacua using [Ashok,Denef,Douglas] method (case by case counting already very difficult for $K3 \times K3$). Index density on moduli space:

$$d\mu_I = \frac{(2\pi L_*)^{K/2}}{(K/2)!} \rho_I,$$

“continuous approximation of lattice points on K – dim. sphere of radius $\sqrt{L_*}$ ”

Count vacua using [Ashok,Denef,Douglas] method (case by case counting already very difficult for $K3 \times K3$). Index density on moduli space:

$$d\mu_I = \frac{(2\pi L_*)^{K/2}}{(K/2)!} \rho_I,$$

“continuous approximation of lattice points on K – dim. sphere of radius $\sqrt{L_*}$ ”
Here:

$$L_* = \chi(Z)/24 - \frac{1}{2} \int_Z G_4 \wedge G_4$$

$$K = \dim_{\mathbf{R}}(H_{\text{scan}} \otimes \mathbf{R}).$$

Note: this approx. is bad if $K \gg L_*$, [Ashok,Douglas] suggests $e^{\sqrt{2\pi KL_*}}$ as a better estimate.

How to compute

$$\dim_{\mathbf{R}}(H_{\text{scan}} \otimes \mathbf{R}) = h^4(Z) - h_V^{2,2} - h_{RM}^{2,2}$$

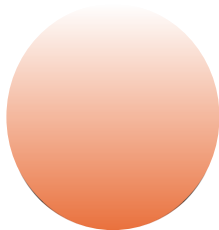
?????????

Technique to find $h_V^{2,2}$ and $h_H^{2,2}$ in $h^{2,2}$: stratification

Technique to find $h_V^{2,2}$ and $h_H^{2,2}$ in $h^{2,2}$: stratification

stratification = decomposition of Z into open even-dimensional submanifolds, **strata**,

$$Z = \amalg Z_{\Theta_n} \amalg Z_{\Theta_{n-1}} \cdots \amalg \text{pt}$$



Technique to find $h_V^{2,2}$ and $h_H^{2,2}$ in $h^{2,2}$: stratification

stratification = decomposition of Z into open even-dimensional submanifolds, **strata**,

$$Z = \amalg Z_{\Theta_n} \amalg Z_{\Theta_{n-1}} \cdots \amalg \text{pt}$$



Then compute Hodge-Deligne numbers of strata and use additivity of

$$e^{p,q}(Z_{\Theta}) = \sum_k (-1)^k h^{p,q} \left(H_C^k(Z_{\Theta}) \right)$$

to compute hodge numbers of Z !

This is particularly easy if Z is embedded into an ambient toric space:

ρ – **dimensional cone** σ in fan $\Sigma \sim (n - \rho)$ – **dim. stratum** $A_\sigma = (\mathbb{C}^*)^{n-\rho}$

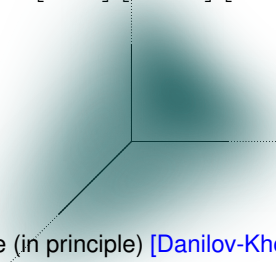
This is particularly easy if Z is embedded into an ambient toric space:

ρ – **dimensional cone** σ in fan $\Sigma \sim (n - \rho)$ – **dim. stratum** $A_\sigma = (\mathbb{C}^*)^{n-\rho}$
gives rise to stratification $Z = \coprod_{\sigma \in \Sigma} Z_\sigma$ via intersection,

This is particularly easy if Z is embedded into an ambient toric space:

ρ – **dimensional cone** σ in fan $\Sigma \sim (n - \rho)$ – **dim. stratum** $A_\sigma = (\mathbb{C}^*)^{n-\rho}$
 gives rise to stratification $Z = \coprod_{\sigma \in \Sigma} Z_\sigma$ via intersection, e.g.

$$\mathbb{P}^2 = [(\mathbb{C}^*)^2] [\coprod_{i=1}^3 \mathbb{C}^*] [\coprod_{j=1}^3 \text{pt}]$$



$e^{p,q}(Z_\sigma)$ easy to compute (in principle) [Danilov-Khovanskii 1987], e.g.

$$e^{p,q}(T^2) = \begin{vmatrix} -1 & 1 \\ -8 & -1 \end{vmatrix} + 3 \begin{vmatrix} 3 \end{vmatrix} + 0 = \begin{vmatrix} -1 & 1 \\ 1 & -1 \end{vmatrix}$$

using Newton polytopes.

Using these techniques we can compute $h^{2,2}$ from combinatorics and identify $h_V^{2,2}$ for toric hypersurfaces (similar to [Batyrev '93]) !

Trick: $Z = (Z \setminus Y) \amalg Y$, $Y = \cup D_i$, for toric divisors D_i , vertical contributions all come from Y .

Using these techniques we can compute $h^{2,2}$ from combinatorics and identify $h_V^{2,2}$ for toric hypersurfaces (similar to [Batyrev '93]) !

Trick: $Z = (Z \setminus Y) \amalg Y$, $Y = \cup D_i$, for toric divisors D_i , vertical contributions all come from Y .

$$h_V^{2,2}(Z) = \ell_1(\Delta_{\leq n-2}) + \frac{n(n-1)}{2} \\ - (n-1) \left[\ell(\Delta) - \sum_{\Theta^{[n-1]} \leq \Delta} \ell^*(\Theta^{[n-1]}) - \sum_{\Theta^{[n-2]} \leq \Delta} \ell^*(\Theta^{[n-2]}) - 1 \right] \\ + \dots$$

It turns out that $h_V^{2,2} + h_H^{2,2} = h^{2,2}$ holds in this case.

Using these techniques we can compute $h^{2,2}$ from combinatorics and identify $h_V^{2,2}$ for toric hypersurfaces (similar to [Batyrev '93]) !

Trick: $Z = (Z \setminus Y) \amalg Y$, $Y = \cup D_i$, for toric divisors D_i , vertical contributions all come from Y .

$$h_V^{2,2}(Z) = \ell_1(\Delta_{\leq n-2}) + \frac{n(n-1)}{2} - (n-1) \left[\ell(\Delta) - \sum_{\Theta^{[n-1]} \leq \Delta} \ell^*(\Theta^{[n-1]}) - \sum_{\Theta^{[n-2]} \leq \Delta} \ell^*(\Theta^{[n-2]}) - 1 \right] + \dots$$

It turns out that $h_V^{2,2} + h_H^{2,2} = h^{2,2}$ holds in this case.

Lets apply this to some examples !

F-theory with $SU(5)$ along $S = \mathbb{P}^2$ in $B_3 = \mathbb{P}^1$ bundle over \mathbb{P}^2 .

F-theory with $SU(5)$ along $S = \mathbb{P}^2$ in $B_3 = \mathbb{P}^1$ bundle over \mathbb{P}^2 . Vertices of polytope ($n = -3 \cdots 3$):

$$\begin{pmatrix} -1 & 0 & 0 & 1 & 1 & 3 & 3 & 3 & 3 & 3 \\ 0 & -1 & 0 & 0 & 1 & 2 & 2 & 2 & 2 & 2 \\ 0 & 0 & 1 & 1 & 1 & n & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \end{pmatrix}$$

F-theory with $SU(5)$ along $S = \mathbb{P}^2$ in $B_3 = \mathbb{P}^1$ bundle over \mathbb{P}^2 . Vertices of polytope ($n = -3 \cdots 3$):

$$\begin{pmatrix} -1 & 0 & 0 & 1 & 1 & 3 & 3 & 3 & 3 & 3 \\ 0 & -1 & 0 & 0 & 1 & 2 & 2 & 2 & 2 & 2 \\ 0 & 0 & 1 & 1 & 1 & n & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \end{pmatrix}$$

Switch on G_{fix} to generate chirality as done in [\[Marsano, Saulina, Schäfer-Nameki; Grimm, Hayashi\]](#)

$$L_* = \frac{2163}{4} + \frac{125}{8} n(n+7) - \frac{5 N_{\text{gen}}^2}{2(18-n)(3-n)}$$

F-theory with $SU(5)$ along $S = \mathbb{P}^2$ in $B_3 = \mathbb{P}^1$ bundle over \mathbb{P}^2 . Vertices of polytope ($n = -3 \dots 3$):

$$\begin{pmatrix} -1 & 0 & 0 & 1 & 1 & 3 & 3 & 3 & 3 & 3 \\ 0 & -1 & 0 & 0 & 1 & 2 & 2 & 2 & 2 & 2 \\ 0 & 0 & 1 & 1 & 1 & n & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \end{pmatrix}$$

Switch on G_{fix} to generate chirality as done in [\[Marsano, Saulina, Schäfer-Nameki; Grimm, Hayashi\]](#)

$$L_* = \frac{2163}{4} + \frac{125}{8} n(n+7) - \frac{5 N_{\text{gen}}^2}{2(18-n)(3-n)}$$

n	-3	-2	-1	0	1	2	3
$h_*^{3,1}$	1249	1423	1723	2148	2698	3373	4173
$h_{H_*}^{2,2}$	5057	5755	6955	8655	10855	13555	16756
$h_{V_*}^{2,2}$	9	9	9	9	9	9	8
L_*^{max}	237	297	387	507	657	837	[1047]
K	7557	8603	10403	12953	16253	20303	25104

for $n = 0$:

	$h^{1,1}$	$h^{3,1}$	$h_{V*}^{2,2}$	$h_{H*}^{2,2}$	$\chi(Y)$	K
no gauge group	3	3277	4	13160	19728	19716
SU(5) model	7	2148	9	8655	12978	12953
SO(10) model	8	2138	10	8618	12924	12896

Closing Remarks:

- Comparing 'cost' of enhancing gauge group, D_n (and E_k) are favoured starting from SU groups.

for $n = 0$:

	$h^{1,1}$	$h^{3,1}$	$h_{V*}^{2,2}$	$h_{H*}^{2,2}$	$\chi(Y)$	K
no gauge group	3	3277	4	13160	19728	19716
SU(5) model	7	2148	9	8655	12978	12953
SO(10) model	8	2138	10	8618	12924	12896

Closing Remarks:

- Comparing 'cost' of enhancing gauge group, D_n (and E_k) are favoured starting from SU groups.
- Doing more examples is straightforward, a few obvious questions to be asked about $U(1)$'s, discrete symmetries, etc.

for $n = 0$:

	$h^{1,1}$	$h^{3,1}$	$h_{V*}^{2,2}$	$h_{H*}^{2,2}$	$\chi(Y)$	K
no gauge group	3	3277	4	13160	19728	19716
SU(5) model	7	2148	9	8655	12978	12953
SO(10) model	8	2138	10	8618	12924	12896

Closing Remarks:

- Comparing 'cost' of enhancing gauge group, D_n (and E_k) are favoured starting from SU groups.
- Doing more examples is straightforward, a few obvious questions to be asked about $U(1)$'s, discrete symmetries, etc.
- Should extend these techniques to more "realistic cases", e.g. complete intersections.

for $n = 0$:

	$h^{1,1}$	$h^{3,1}$	$h_{V_*}^{2,2}$	$h_{H_*}^{2,2}$	$\chi(Y)$	K
no gauge group	3	3277	4	13160	19728	19716
SU(5) model	7	2148	9	8655	12978	12953
SO(10) model	8	2138	10	8618	12924	12896

Closing Remarks:

- Comparing 'cost' of enhancing gauge group, D_n (and E_k) are favoured starting from SU groups.
- Doing more examples is straightforward, a few obvious questions to be asked about $U(1)$'s, discrete symmetries, etc.
- Should extend these techniques to more "realistic cases", e.g. complete intersections.
- Continuous approximation expected to be very bad: $L_* \ll K$!

for $n = 0$:

	$h^{1,1}$	$h^{3,1}$	$h_{V_*}^{2,2}$	$h_{H_*}^{2,2}$	$\chi(Y)$	K
no gauge group	3	3277	4	13160	19728	19716
SU(5) model	7	2148	9	8655	12978	12953
SO(10) model	8	2138	10	8618	12924	12896

Closing Remarks:

- Comparing 'cost' of enhancing gauge group, D_n (and E_k) are favoured starting from SU groups.
- Doing more examples is straightforward, a few obvious questions to be asked about $U(1)$'s, discrete symmetries, etc.
- Should extend these techniques to more "realistic cases", e.g. complete intersections.
- Continuous approximation expected to be very bad: $L_* \ll K$!
- What loci in moduli space is $\Omega^{4,0}$ driven to ? Influence of horizontal flux on gauge sector ?

for $n = 0$:

	$h^{1,1}$	$h^{3,1}$	$h_{V_*}^{2,2}$	$h_{H_*}^{2,2}$	$\chi(Y)$	K
no gauge group	3	3277	4	13160	19728	19716
SU(5) model	7	2148	9	8655	12978	12953
SO(10) model	8	2138	10	8618	12924	12896

Closing Remarks:

- Comparing 'cost' of enhancing gauge group, D_n (and E_k) are favoured starting from SU groups.
- Doing more examples is straightforward, a few obvious questions to be asked about $U(1)$'s, discrete symmetries, etc.
- Should extend these techniques to more "realistic cases", e.g. complete intersections.
- Continuous approximation expected to be very bad: $L_* \ll K$!
- What loci in moduli space is $\Omega^{4,0}$ driven to ? Influence of horizontal flux on gauge sector ?