

# D7-brane Chaotic Inflation and F-theory GUTs with High-Scale SUSY

in collab. with **S.C. Kraus** / **L. Witkowski** and **J. Unwin**

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## Part 1

- Brief review of 'D7-brane chaotic inflation' as a controlled SUGRA version of axion monodromy

## Part 2

- F-theory unification in high-scale SUSY scenarios
- $X, Y$ -induced proton decay constraints  
(without exponential suppression)
- Why the higher Landau levels make it difficult to suppress proton decay by localization

## A New Class Axion Monodromy Models

- The 'classical' axion monodromy scenarios are difficult to describe within spontaneously broken supergravity

Silverstein/Westphal, McAllister/Silverstein/Westphal,  
Kaloper/Sorbo '08 (see however Weigand/Palti '14)

- This situation may have **fundamentally improved** with a recent series of papers:

Marchesano/Shiu/Uranga, 1404.3040  
Blumenhagen/Plauschinn 1404.3542  
AH/Kraus/Witkowski 1404.3711

as well as:

Ibanez/Valenzuela  
Arends,AH,..., Lüst, Mayrhofer, Weigand  
Franco/Galloni/Retolaza/Uranga

Also: Grimm; McAllister/Silverstein/Westphal/Wrase

Recent developments of 'KNP': Kappl/Krippendorf/Nilles; Ben-Dayan/Pedro/Westphal;

Long/McAllister/McGuirk; Gao/Li/Shukla; Bachlechner et al.;

Non-geometric: Hassler/Lüst/Massai; etc.; etc.

## Fundamental approach:

- Use fields with axionic shift symmetry (in Kähler potential)
- Break periodicity **weakly** by superpotential

## Realizations:

### (1) Marchesano/Shiu/Uranga:

- Several scenarios; one crucial aspect: 'Massive Wilson Lines'

### (2) Blumenhagen/Plauschinn:

- Use  $C_0$  of  $S = 1/g_s + iC_0$ .
- Since  $K = -\ln(S + \bar{S})$  and  $W = A(z) + SB(z)$ , tuning for a small mass of  $S$  is easy
- Stabilizing  $\text{Re}(S)$  remains a challenge

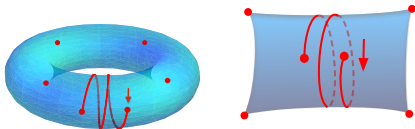
## Realizations (continued):

### (3) 'Our' Chaotic-D7-brane scenario (with Kraus/Witkowski)

- Start with older 'D7-brane' proposal ('fluxbrane inflation')

AH, Kraus, Lüst, Steinfurt, Weigand '11  
... + Küntzler '12

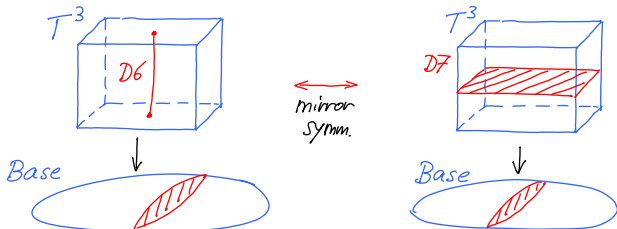
- **Central point:** In type IIB at at 'large complex structure', certain D7-brane position moduli have shift symmetry
- **In addition:** They are part of the flux superpotential, which may induce a (small!) monodromy



## Origin of Shift symmetry

### (A) Via D6 branes in type IIA mirror dual

- D6-Wilson line  $\Leftrightarrow$  D7-position modulus
- Easy to visualize in SYZ picture...



## Origin of Shift symmetry

### (B) Via F-theory / Mirror symmetry of 4-folds

- D7 brane moduli and complex structure moduli are part of the complex structure of the F-theory 4-fold:  $\{c, u\} \equiv \{z\} \equiv \{t\}$ .
- For the mirror dual 4-fold, these are all (shift-symmetric) Kähler moduli:

$$K \supset -\ln[\kappa_{ijkl}(t - \bar{t})^i(t - \bar{t})^j(t - \bar{t})^k(t - \bar{t})^l]$$

- Hence (symbollically):

$$K \supset -\ln[(u - \bar{u})^4 + (u - \bar{u})^2(c - \bar{c})^2]$$

## Superpotential and flux-tuning

- The F-theory superpotential takes the general form

$$W = N^A \Pi_A(u^i, c^i)$$

- By flux tuning, we assume

$$W = W_0 + \alpha c + \frac{\beta}{2} c^2$$

with

$$\alpha = \alpha(u^i, c^i) \ll 1$$

$$\beta = \beta(u^i, c^i) \ll 1$$

## Complete Model with Moduli Stabilization

- Our 4d-supergravity analysis is based on

$$K = -2 \ln \tilde{\mathcal{V}} - \ln \left( A + iB(c - \bar{c}) - \frac{D}{2}(c - \bar{c})^2 \right)$$

and

$$W = W_0 + \alpha c + \frac{\beta}{2} c^2 + e^{-2\pi T_s}$$

- Here  $T_s$  is the ‘blowup-cycle’ of LVS;  
 $\tilde{\mathcal{V}}$  is volume with  $\alpha'$ -correction
- The full scalar potential follows from the **standard supergravity formula** and lead to a ‘chaotic’ potential for  $\varphi \sim \text{Re}(c)$
- For more details see **Lukas Witkowski’s** parallel talk...



...and now for something completely different:

## (String-) GUTs with High-Scale SUSY

- If SUSY is broken far above 1 TeV, precision unification fails
- Naively, one might think that GUTs lose their motivation since the “ $\mathbf{10} + \bar{\mathbf{5}}$ ” spectrum follows from anomaly cancellation
- This can be argued as follows:

Foot, Lew, Volkas, Joshi '89  
Knochel, Wetterich '11

Starting from the  $(\mathbf{3}, 2)$  of the SM, anomaly cancellation allows only

$$\text{I : } (3,2)_{1/6} + (\bar{3},1)_{-2/3} + (\bar{3},1)_{1/3} + (1,2)_{-1/2} + (1,1)_1$$

$$\text{II : } (3,2)_Y + (\bar{3},1)_{-Y-1/2} + (\bar{3},1)_{-Y+1/2} + (1,2)_{-3Y} + (1,1)_{3Y-1/2} + (1,1)_{3Y+1/2}$$

$$\text{III : } (3,2)_Y + (\bar{3},2)_{-Y-1/2} + (\bar{3},2)_{-Y+1/2} + (3,2)_{-Y} + (\bar{3},2)_{Y-1/2} + (\bar{3},2)_{Y+1/2}.$$

- ...thus, the SM spectrum (i.e. 'choice I') has a 30% chance without any deeper motivation
- However, the **threefold replication** of 'choice I' requires explanation  
(statistically, one would expect some combination of the choices I, II and III)

By contrast:

- In an  $SU(5)$  GUT (e.g. with hypercharge-flux-breaking), a simple choice of **flux numbers** explains the **threefolds replication** of the  $\mathbf{10} + \bar{\mathbf{5}}$  spectrum
- We take this (plus, possibly, simplicity) as a motivation to consider GUTs even without low-scale SUSY

## F-theory corrections to unification

Donagi/Wijnholt; Blumenhagen '08

- It is then natural to consider F-theory corrections to maintain precision unification in high-scale SUSY scenarios

Ibanez, Marchesano, Regalado, Valenzuela '12

- In contrast to previous discussions, we argue that both **classical** ('Blumenhagen type') and **loop** ('Donagi/Wijnholt-type') corrections have to be added
- Our argument is based on the type I / heterotic 1-loop formula

Bachas, Kiritsis '96

$$\mathcal{L} \sim R_f^2 \left[ \frac{1}{g_f} \text{Tr}_f [F^4] + \left\{ \int_0^\infty dl \sum_w e^{-w^2 l / 2\pi} \right\} \left( \text{Tr}_f [F^4] + \frac{1}{8} \text{Tr}_f [F^2]^2 \right) \right] + \dots ,$$

## F-theory corrections to unification (continued)

- Rewriting this in type IIB variables, we find

$$\mathcal{L} \sim \frac{1}{g_s} \text{Tr}_f [F^4] + \text{Tr}_{\text{Adj}} [F^4] \text{Log}(1/\epsilon)$$

- Here we clearly see both the **classical** ('Blumenhagen') and **loop** (Donagi/Wijnholt) terms

### GUT implementation

Dolan/Marsano/Schäfer-Nameki '11

- We start from

$$\alpha_i^{-1}(m_Z) = \alpha_{\text{GUT}}^{-1} + \frac{1}{2\pi} b_i^{\text{MSSM}} \log \left( \frac{M_{\text{KK}}}{m_Z} \right) + \delta_i^{\text{MSSM}} + \delta_i^{\text{tree}} + \delta_i^{\text{loop}},$$

## GUT implementation (continued)

- More specifically

$$\delta_i^{\text{MSSM}} = \frac{1}{2\pi} (b_i^{\text{SM}} - b_i^{\text{MSSM}}) \log \left( \frac{M_{\text{SUSY}}}{m_Z} \right)$$

$$\delta_i^{\text{loop}} = \frac{1}{2\pi} b_i^{5/6} \log \left( \frac{\Lambda}{M_{\text{KK}}} \right)$$

Conlon; Conlon/Palti '09

$$\delta_i^{\text{tree}} = \frac{b_i^H}{g_s} \int_S \left[ f_Y \wedge i^* B_- - \frac{1}{10} f_Y \wedge f_Y - f_Y \wedge f_S \right]$$

Mayrhofer/Palti/Weigand '13

- This allows for a full phenomenological analysis

## The strategy of Ibanez/Marchesano/Regalado/Valenzuela

- Let  $W_0$  and  $g_s$  take its natural,  $\mathcal{O}(1)$  values
- Implement the above formulae (without loop-effect)
- One finds  $M_{\text{GUT}} \simeq 3 \times 10^{14}$  GeV and  $M_{\text{SUSY}} \simeq 5 \times 10^{10}$  GeV
- The unavoidable dimension-6 proton decay must be suppressed by **localization of  $X, Y$  gauge bosons** away from the matter curves

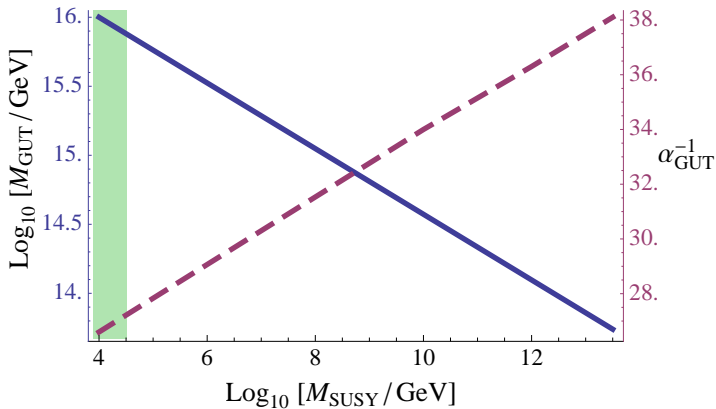
see also Hamada/Kobayashi '12; Kakizaki '13

### Our strategy

- We believe (see below) that it is **very hard** to suppress  $X, Y$ -induced proton decay
- Then  $M_{\text{GUT}}$  must be kept high which (based on the RG-analysis) forces  $M_{\text{SUSY}}$  to remain low(ish)

## Running/proton-decay constraints

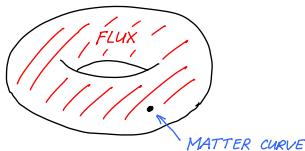
$$M_{\text{GUT}} \simeq 4.25 \times 10^{15} \text{ GeV} \left( \frac{10^5 \text{ GeV}}{M_{\text{SUSY}}} \right)^{2/9} \left( \frac{3.3}{\Lambda/M_{\text{KK}}} \right)^{1/3}$$



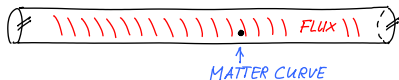
## The crucial $X, Y$ -localization issue

see also Klebanov/Witten '03; Beasley/Heckman/Vafa  
Cecotti/Cheng; Conlon/Palti/Dudas/Camara;  
Font/Ibanez/Aparicio/Marchesano;...

- Let  $S = T^4 = T^2 \times T^2$ , with the matter curve on the small  $T^2$



- The best localization arises for  $T^2 = S^1 \times S^1$

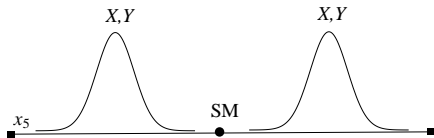


- The  $X, Y$  wavefunctions now correspond to those of a scalar field on a **line with linearly varying mass term**

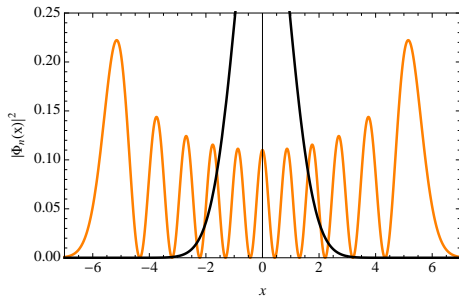
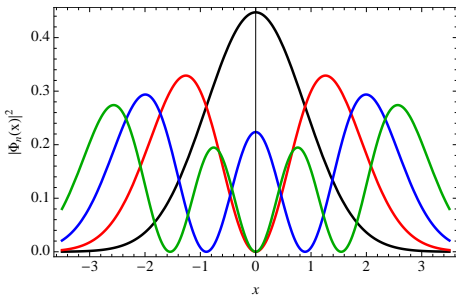


- The relevant equation of motion is precisely the Schrödinger equation of a harmonic oscillator

Hayashi/Kawano/Tsuchiya/Watari '09



- Including higher modes (Landau levels):



- One can place the matter curve away from the zero-mode
- But higher modes 'spread out', reaching the matter curve
- We provide a detailed analytical treatment, including summation over higher Landau level modes
- The resulting decay rate is astonishingly simple:

$$\frac{\Gamma}{\Gamma_{4D}} \sim \left( \sum_{n=0}^{\infty} \frac{L_5}{2n^{1/3} x_{\max}(n)} \right)^2 \sim N^2 \geq 1$$

- The only way out appears to be localizing fermions in the same GUT multiplet away from each other
- We believe that this is very difficult
- One can 'split' the multiplets, but this destroys our motivation

See e.g. Font/Ibanez '08; Dudas/Palti '10;  
Callaghan et al. '11; Krippendorff et al '14

## Summary/Conclusions

### Part 1

- Considerable progress towards moduli stabilization in monodromy models has recently been made
- In particular, the dynamics of D7-branes in flux compactifications provides a ground where explicit examples appear within reach ('Chaotic D7-brane inflation')

### Part 2

- F-theory GUTs remain an interesting new-physics options even without TeV-scale SUSY
- There are strong arguments (GUT paradigm + proton decay) to expect SUSY at  $\lesssim 100$  TeV
- Raising the SUSY scale further remains a worthwhile challenge